

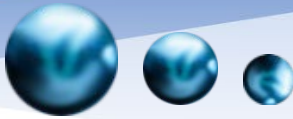
NanoGenerator® cGMP Nanoparticle Synthesis System

PreciGenome

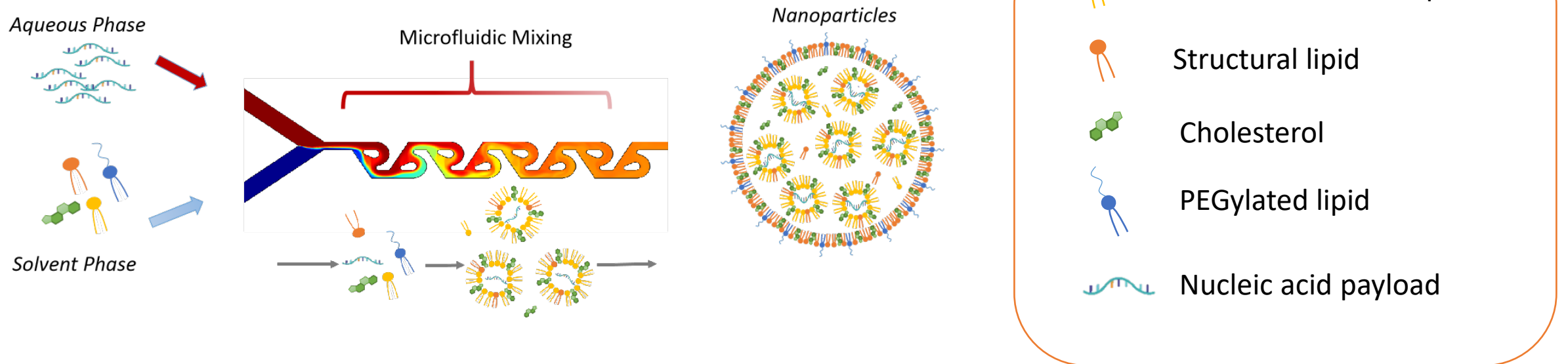
AUG 2025



What are Lipid Nanoparticles?



Lipid nanoparticles (LNPs) are self-assembling structures of natural or synthetic lipids in an aqueous environment.



RNA-LNP Therapeutics and Vaccines

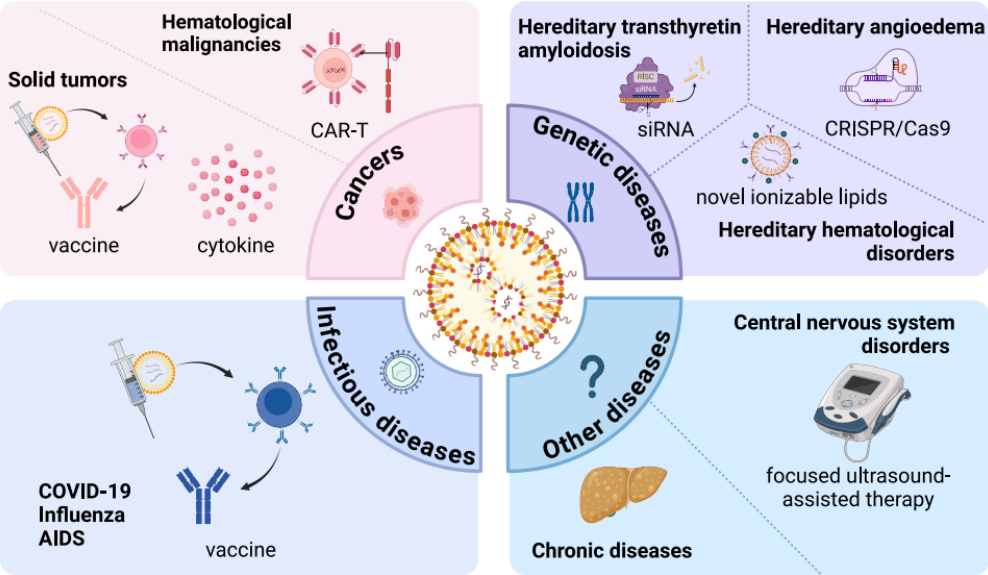
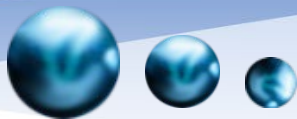


Table 1. Representative mRNA-LNP cancer vaccines in clinical trials

| Name | Encoded antigen | Administration route | Condition | Stage | NCT number |
|-----------|---|----------------------|----------------------------|-----------------|----------------------------|
| BNT112 | kallikrein-2, kallikrein-3, acid phosphatase prostate, homeobox B13, and NK3 homeobox 1 | i.v. | prostate cancer | phase 1/2 study | NCT04382898 ¹²⁷ |
| BNT113 | HPV-16 oncoproteins E6 and E7 | i.v. | head and neck cancer | phase 2 study | NCT04534205 ¹²⁸ |
| BNT122 | up to 20 neoantigens | i.v. | pancreatic cancer | phase 1 study | NCT04161755 ¹²⁵ |
| mRNA-4157 | up to 34 neoantigens | i.m. | non-small cell lung cancer | phase 3 study | NCT06077760 ¹²⁹ |
| | up to 34 neoantigens | i.m. | melanoma | phase 3 study | NCT05933577 ¹³⁰ |
| mRNA-5671 | 4 prevalent KRAS mutant antigens | i.m. | tumors with KRAS mutation | phase 1 study | NCT03948763 ¹³¹ |

Table 2. Representative mRNA-LNP clinical development for infectious diseases

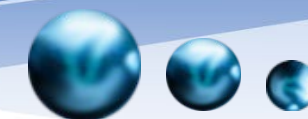
| Drug name | Administration route | Target virus | Stage |
|-------------------------|----------------------|--------------|-----------------|
| mRNA-1273 | i.m. | COVID-19 | approved |
| BNT162b2 | i.m. | | approved |
| ARCT-154 | i.m. | | approved |
| DCVC H1 HA mRNA vaccine | i.m. | H1N1 | phase 1 study |
| H3 mRNA/LNP vaccine | i.m. | H3N2 | phase 1 study |
| mRNA-1769 | i.m. | MPXV | phase 1/2 study |

Table 3. Representative mRNA-LNP clinical development for gene editing

| Name | Gene-editing technology | Administration route | Condition | Stage |
|---|-------------------------|----------------------|-----------|---------------|
| NTLA-2001 | CRISPR-Cas9 | i.v. | hATTR | phase 3 study |
| NTLA-2002 | CRISPR-Cas9 | i.v. | HAE | phase 3 study |
| VERVE-101 | base editing | i.v. | HeFH | phase 1 study |
| HeFH, heterozygous familial hypercholesterolemia. | | | | |

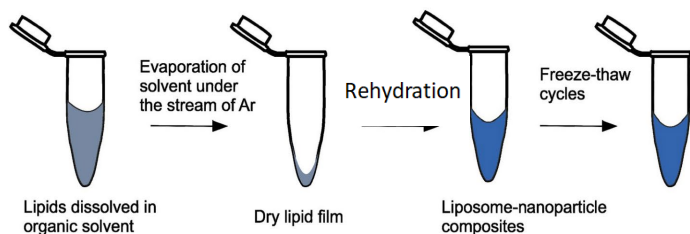
Molecular Therapy Methods & Clinical Development. 2025, Volume 33, Issue 2, 101463

Lipid Nanoparticle Synthesis Methods



Conventional Methods

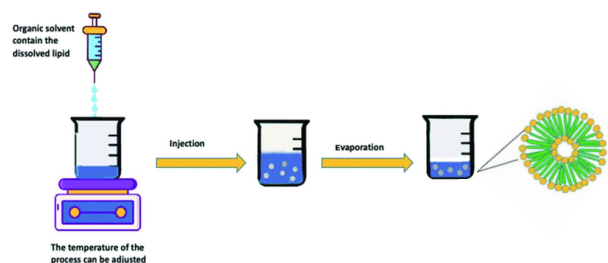
A Film hydration



- Established method
- Versatile method

- High consumption of the organic solvent
- High PDI
- Lack of reproducibility
- Need for additional downsizing step
- Difficulties in scaling-up

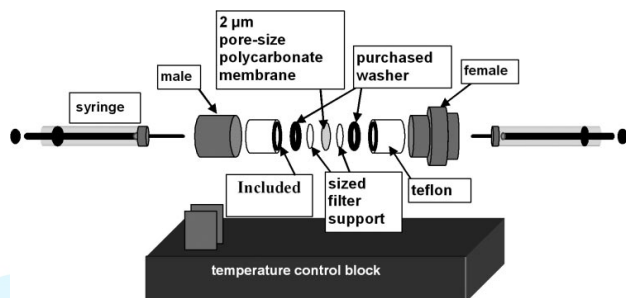
B Solvent injection



- Simple and fast
- Scaling-up possibility
- Controllable

- Exposing to organic solvent
- High PDI
- Stability problem

C Extrusion

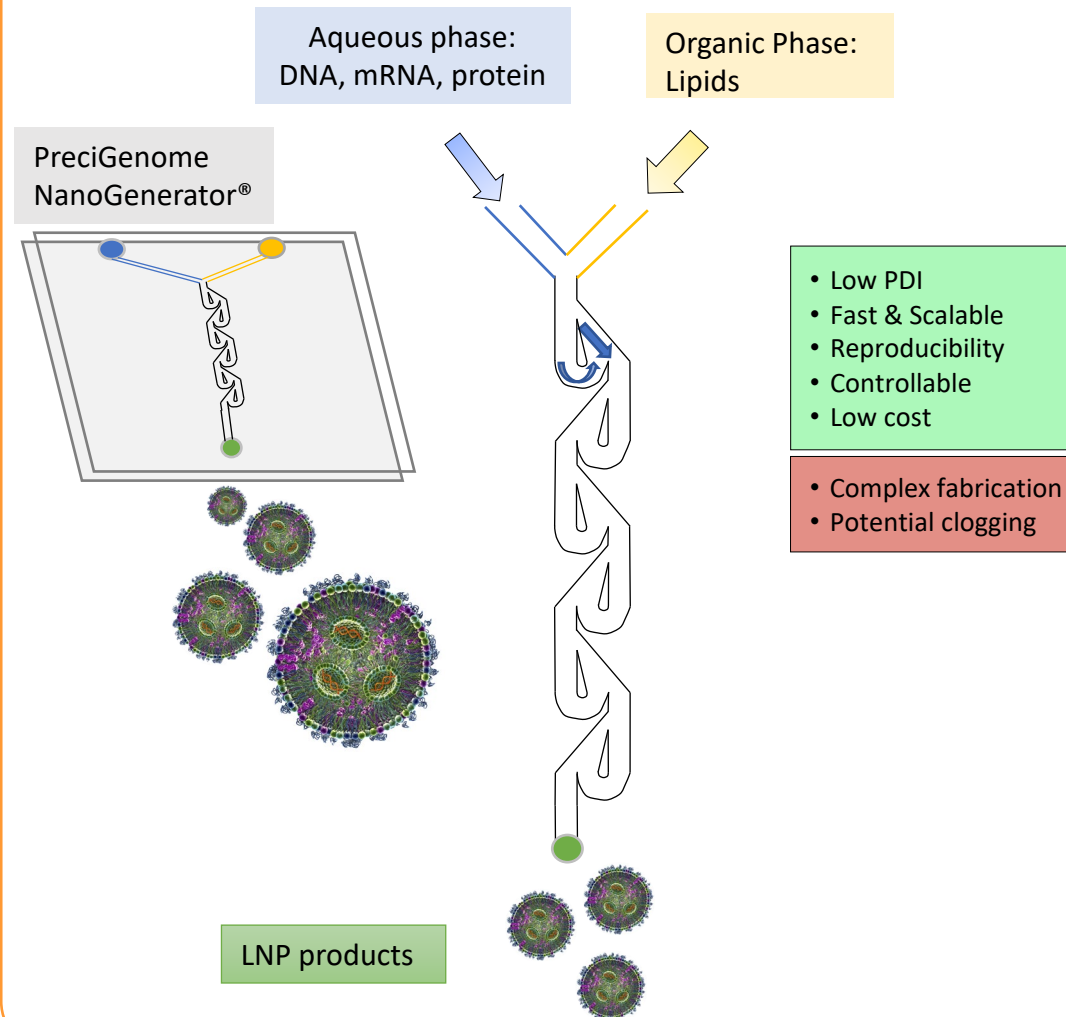


- Established method
- Uniform and homogenous formulation

- Possible clogging of the membrane pores
- Difficulties in scaling up

Nanomaterials, Volume 11, 2021, 3440

Microfluidic Mixing

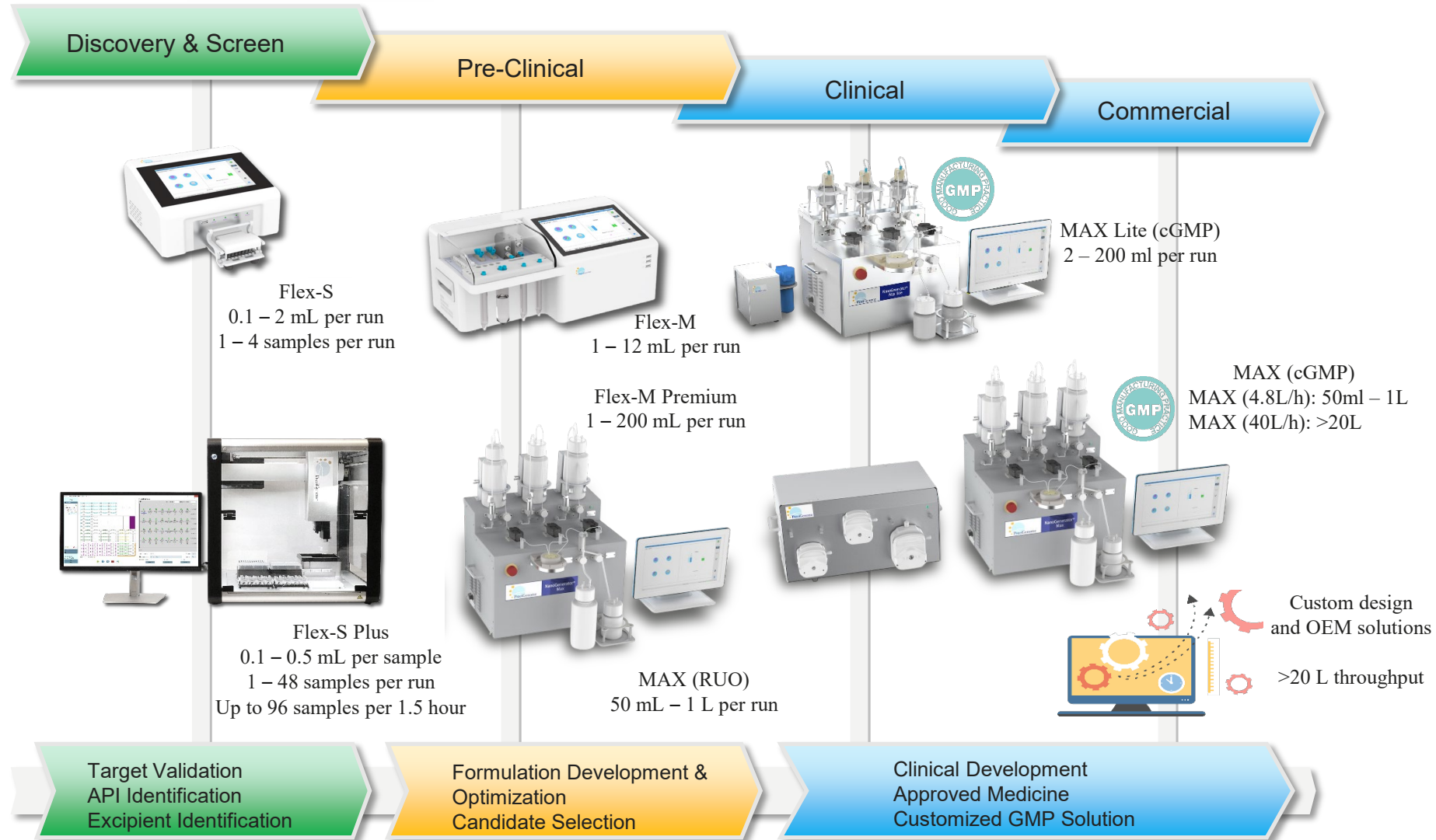
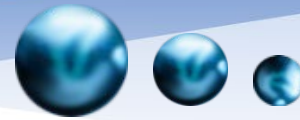


- Low PDI
- Fast & Scalable
- Reproducibility
- Controllable
- Low cost

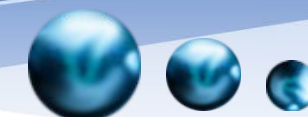
- Complex fabrication
- Potential clogging

VS.

NanoGenerator[®] - Nanoparticle Synthesis System



Scalable LNP Production



NanoGenerator® Flex-S/Flex-S Plus



Early Screening
0.1 – 2 mL (Flex-S)
0.1 – 0.5 mL (Flex-S Plus)

NanoGenerator® Flex-M/Flex-M Premium



Small/Medium
Production
1 – 12 mL (Flex-M)
1 – 200 mL (Flex-M Premium)

NanoGenerator® MAX (RUO)



Large production
50 mL – 1 L
Custom design for larger volume

NanoGenerator® MAX Lite (cGMP)



Small/Medium
Production
2 – 200 mL

NanoGenerator® Max (cGMP)



Commercial Production
50 mL – 1 L (MAX 4.8L/h);
> 20 L (MAX 40L/h)

OEM

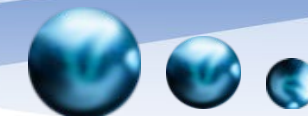


Custom design and OEM solutions
cGMP certified manufacturing
>200 L throughput

cGMP
solutions

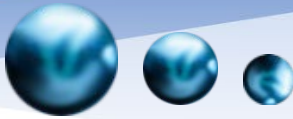


NanoGenerator® MAX — Spec



| Model | NanoGenerator® MAX Lite | NanoGenerator® MAX | | | |
|-------------------------------------|--|-------------------------|-------------------------|------------------------|------------------------|
| | | RUO flow kit 4.8 L/h | GMP flow kit 4.8 L/h | RUO flow kit 40 L/h | GMP flow kit 40 L/h |
| cGMP compliance | Yes | N/A | Yes | N/A | Yes |
| Software (21 CFR Part 11 compliant) | Yes | Optional | Yes | Optional | Yes |
| Throughput | 2 – 200 ml | 50 ml – 1 L | | > 20 L | |
| Total flow rate | 10 – 24 ml/min | 1.2 – 4.8 L/h | | Up to 40 L/h | |
| Flow rate ratio | 1:1 – 9:1 | 1:1 – 9:1 | | 1:1 – 5:1 | |
| Inline dilution | 1:1 – 5:1 | | | | |
| Size range | 40 – 200 nm | | | | |
| PDI | 0.05 – 0.2 | | | | |
| Encapsulation efficiency | Up to 99% | | | | |
| Payload | DNA, mRNA, siRNA, protein, small molecules, etc. | | | | |
| Dimension (L × W × H) | 420 × 300 × 300 mm | 620 × 380 × 430 mm | | | |
| Weight | 35 Kg | 50 Kg | | 65 Kg | |

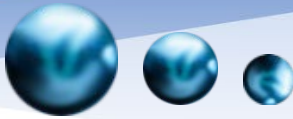
NanoGenerator® Max Lite — Intro



- The NanoGenerator® Max Lite (cGMP) is designed for clinical and commercial production, especially for early phase clinical study and personalized medicine study.
- Disposable consumables: all wet materials are disposable (but can be reused if needed)
- Synthesizing volume: 2 – 200 ml
- Total flow rate up to 24 ml/min
- Flow rate ratio: 1:1 – 9:1
- Inline dilution ratio: 1:1 – 5:1



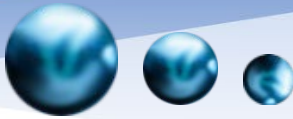
NanoGenerator® MAX — Intro



- The NanoGenerator® Max is designed for clinical and commercial production.
 - RUO: Preclinical applications
 - cGMP: Clinical and commercial production
- Two flow kits are available with different supported throughput:
 - 4.8 L/h flow kit: 50 mL – 1 L
 - 40 L/h flow kit: >20 L (cGMP version only)
- 40L/h flow kit allows continuous synthesis mode.
- With the accessory (pump system), pump system can fill raw materials to the sample bottles to prevent the raw material run out



NanoGenerator® MAX — Contents



Instrument:

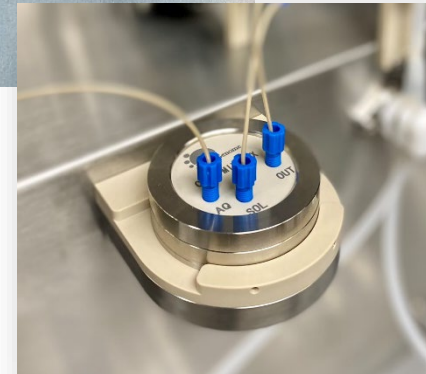
- Pneumatic system
- Valves
- Flow rate sensors
- Consumable kit
- Monitor (optional)
- Pumps (optional)

Consumable Kit:

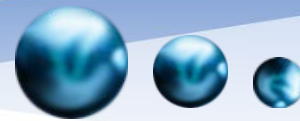
(Sterilized, Nuclease free, pre-assembled)



- Sample bottle (aqueous)
- Sample bottle (solvent)
- Sample bottle (dilution)
- Waste bottle
- Bioprocessing bag (collection)
- Tubing & connectors
- Mixing chip

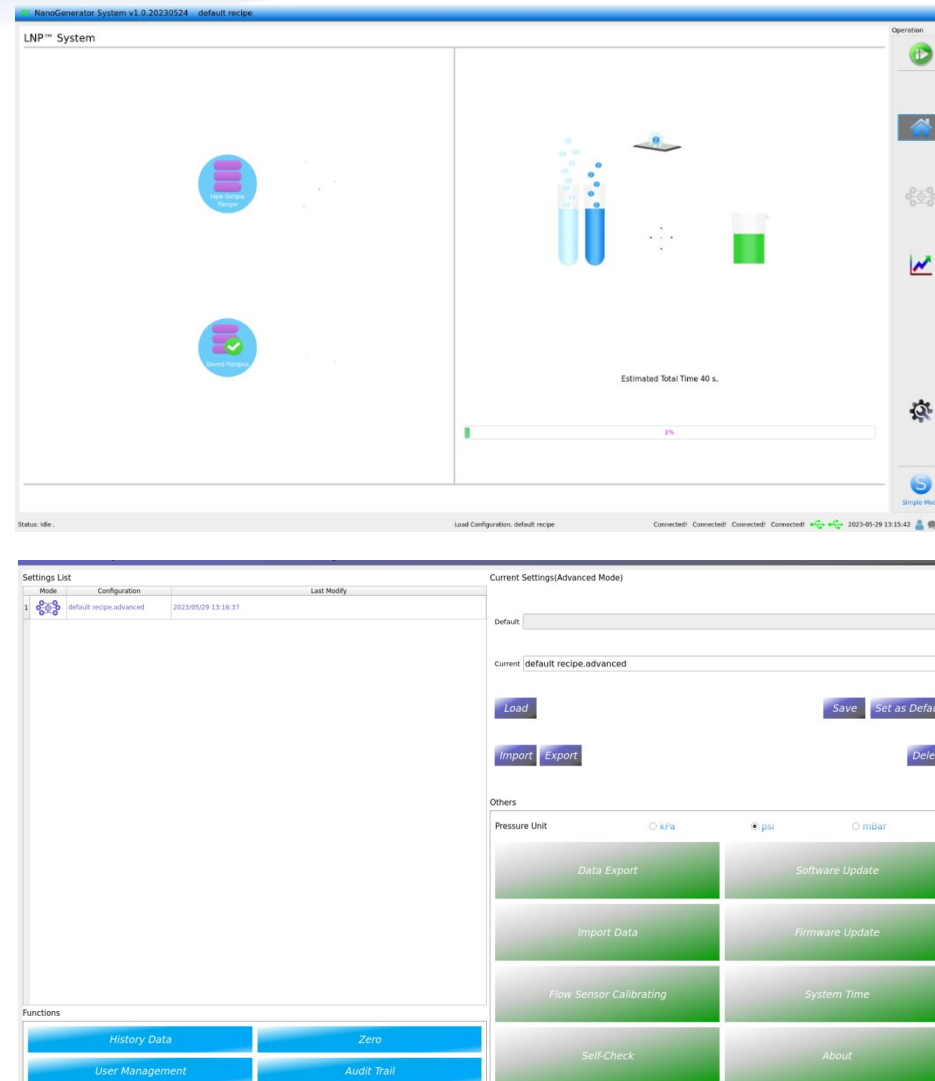


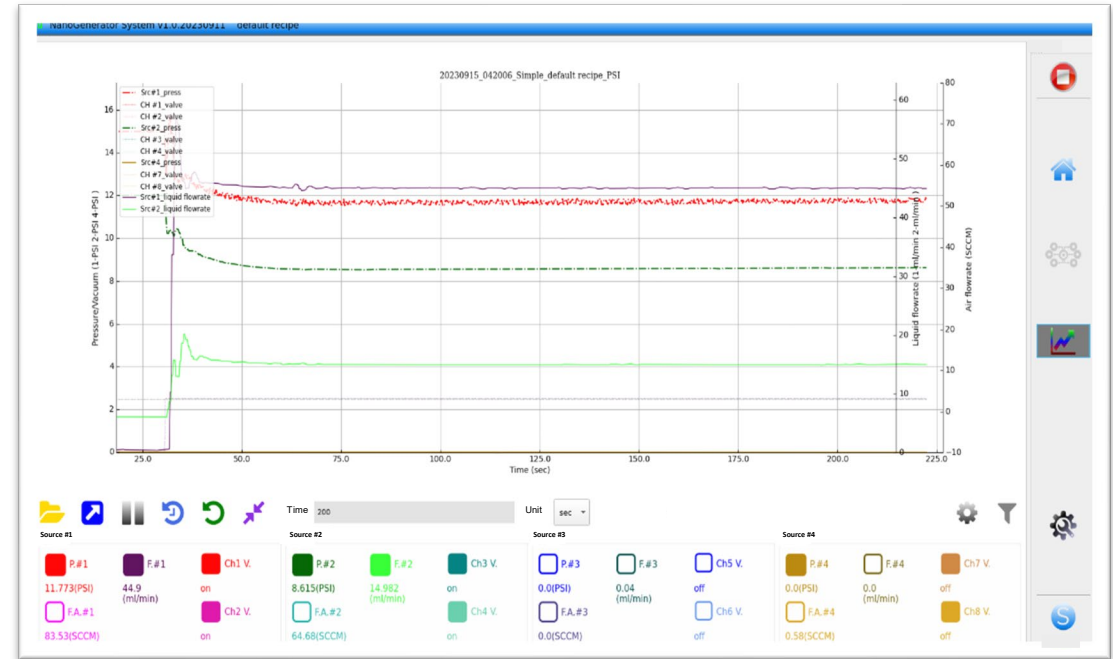
NanoGenerator® MAX — Software



Software (21 CFR Part 11) Features:

- Experimental parameter setting
- Experimental recipe save/load
- Real-time pressure/flow rate chart
- Historic experimental parameter tracking
- Historic pressure/flow rate tracking
- System self-diagnostic system
- Real-time flow rate diagnostic system
- Warning system
- Manual & automatic emergency stop system
- User management
- Audit trail
- Zero flow calibration
- Flow sensor maintenance & re-calibration (Service)



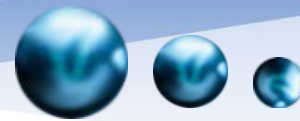


Easy-to-use real-time flow rate /pressure chart including:

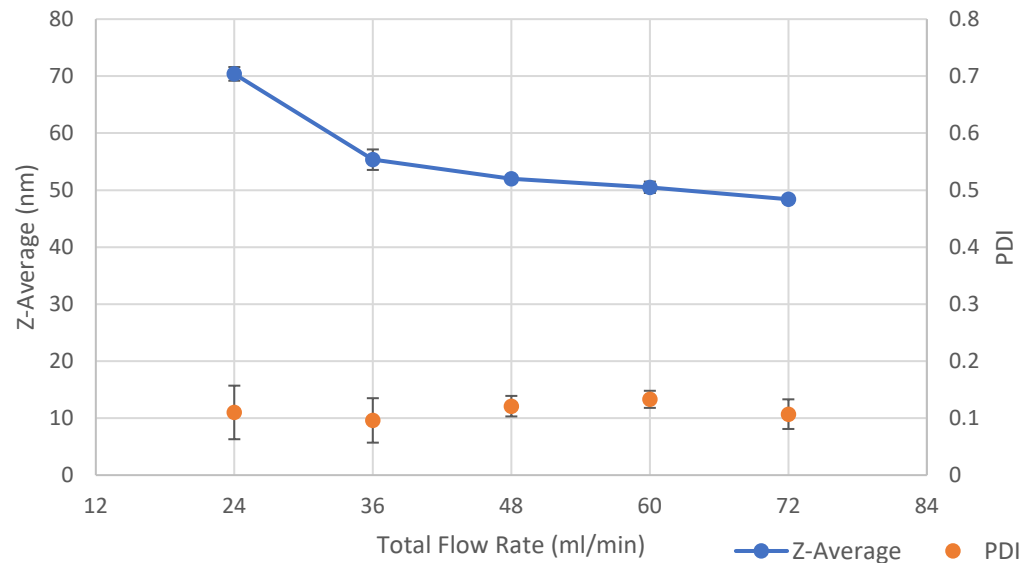
- Flow rate
- Pressure
- Air flow rate

PreciGenome (Confidential)

NanoGenerator® MAX — Performance



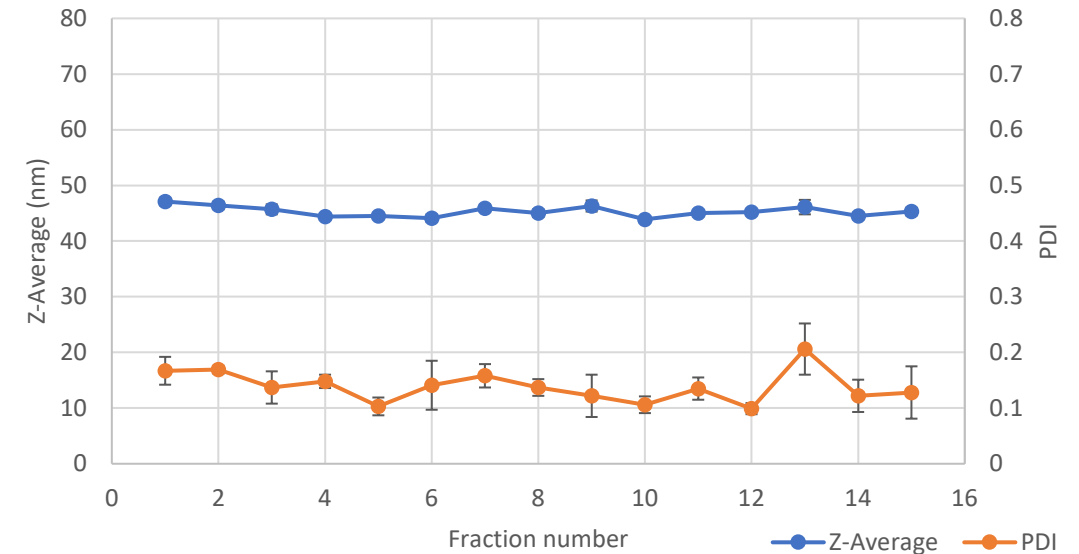
Nanoparticle Size vs. Total Flow Rate



- Nanoparticle size decreases as total flow rate increases
- Size decrease experiences diminishing returns when the flow rate reaches 48 ml/min

| Reagents | |
|---------------|--------------------------------------|
| Aqueous phase | Sodium acetate buffer (100mM, pH5.2) |
| Solvent phase | LipidFlex, 15mM in ethanol |

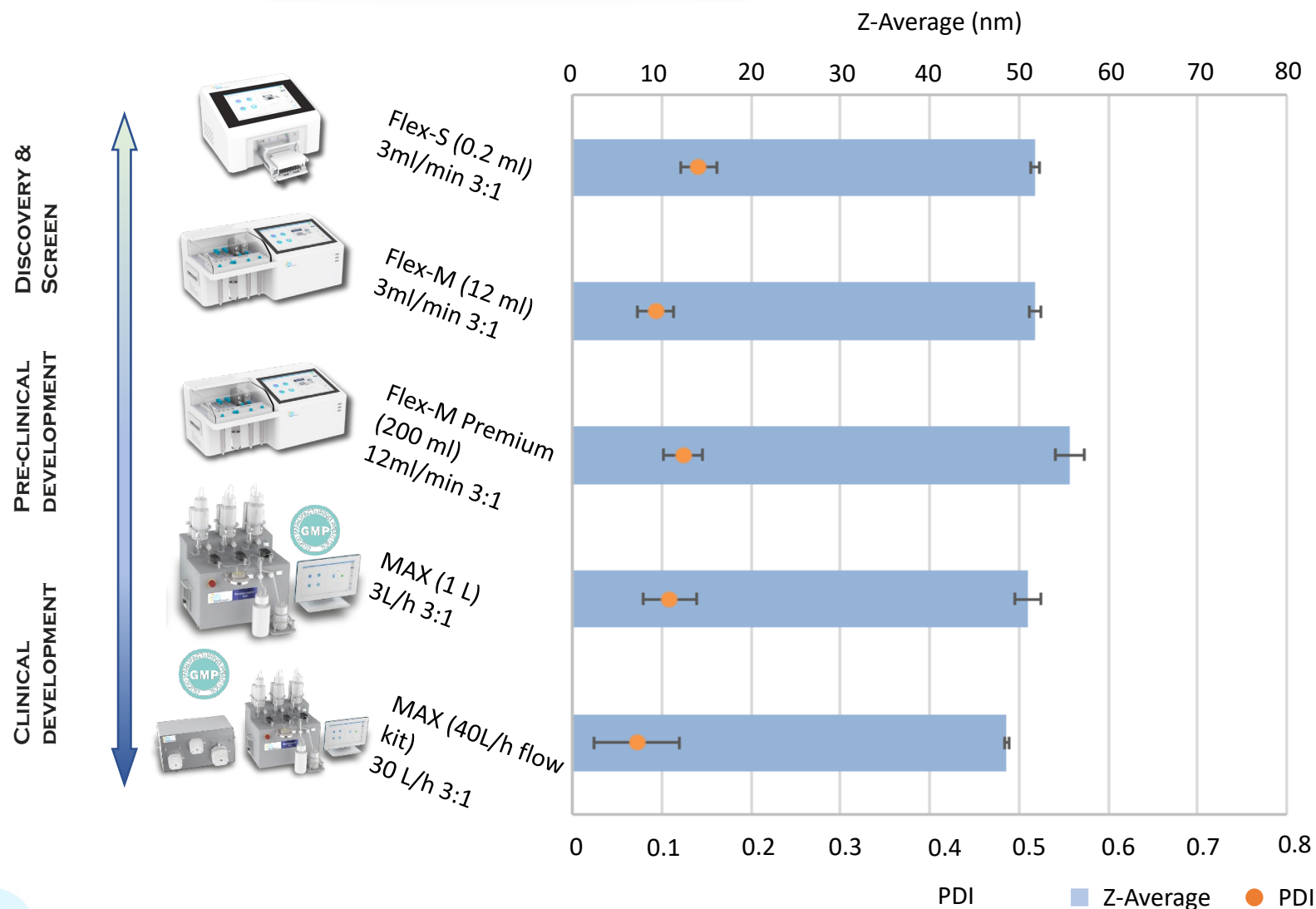
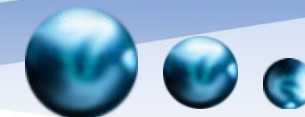
Nanoparticle Size uniformity (50 ml/fraction)



- Throughout the entire production run, there is no significant difference in the nanoparticle size and PDI

| Reagents | |
|---------------|---------------------------------------|
| Aqueous phase | Phosphate-Buffered Saline (1X, pH7.4) |
| Solvent phase | LipidDemo, 15mM in ethanol |

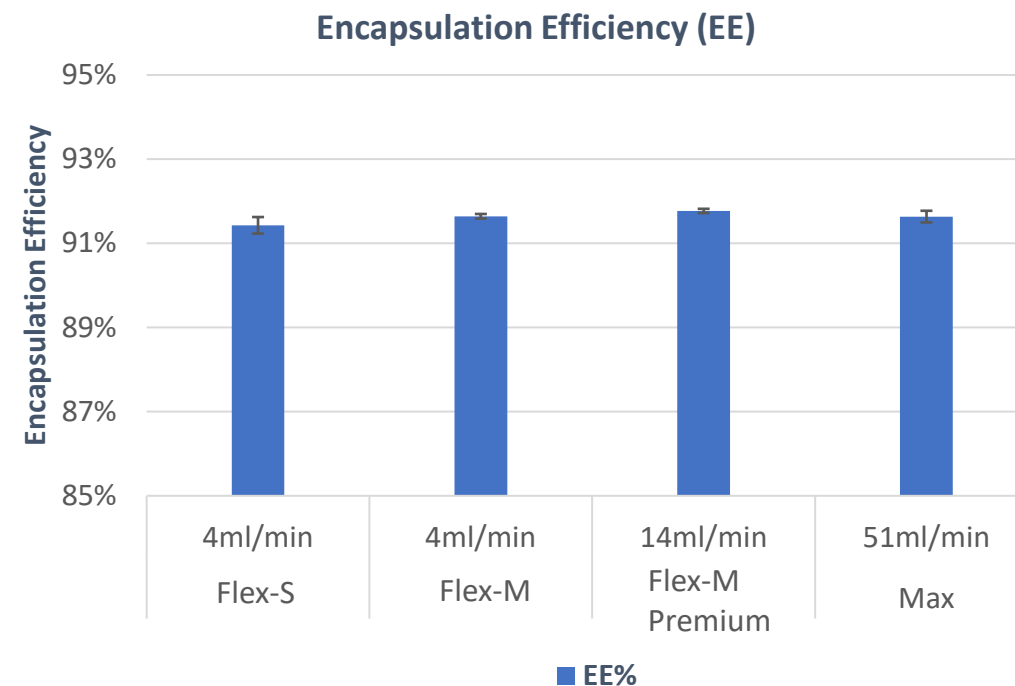
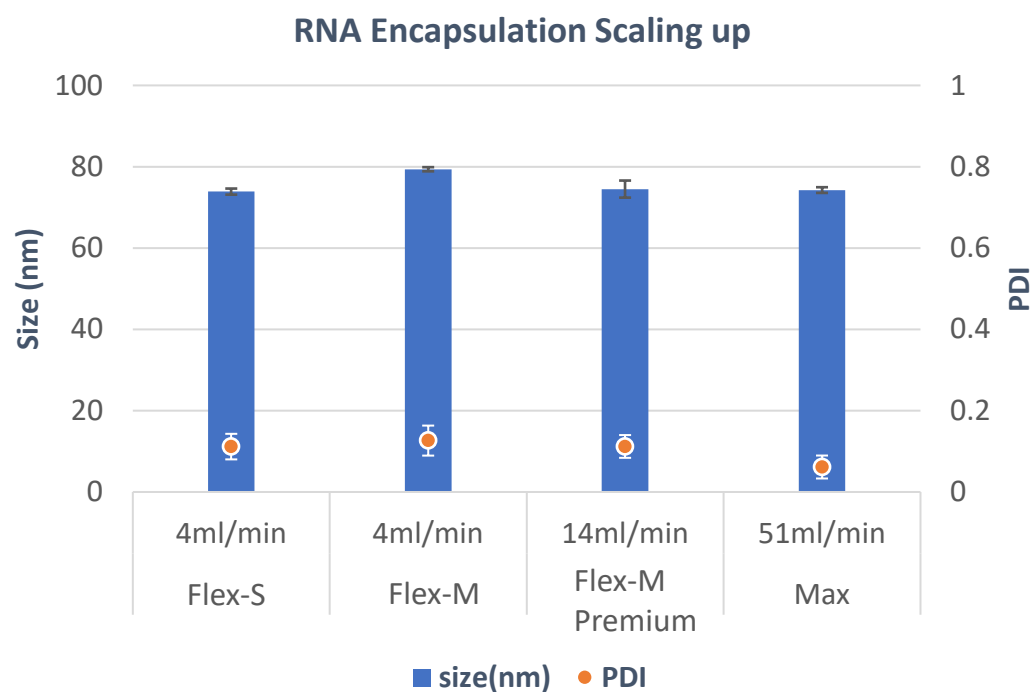
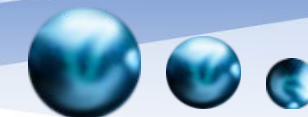
NanoGenerator® — Scale Up



- Nanoparticle size is consistent across different production volumes if using optimal flow rates
- Mixing mechanism is the same for all PreciGenome instruments
- Production can be scaled up from discovery & screening to preclinical & clinical trial production

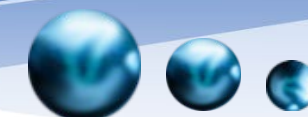
| Reagents | |
|---------------|--------------------------------------|
| Aqueous phase | Sodium acetate buffer (100mM, pH5.2) |
| Solvent phase | LipidFlex, 15mM in ethanol |

NanoGenerator® — Scale Up



| Reagents | |
|---------------|--------------------------------------|
| Aqueous phase | Sodium acetate buffer (100mM, pH5.2) |
| Payload | RNA (~600 nt) |
| Solvent phase | LipidFlex RNA-LNP kit |

Case Study I: mRNA LNPs for T cell Transfection



eGFP mRNA Lipid Nanoparticles

Z-Average Diameter: 67.3 nm

PDI: 0.106

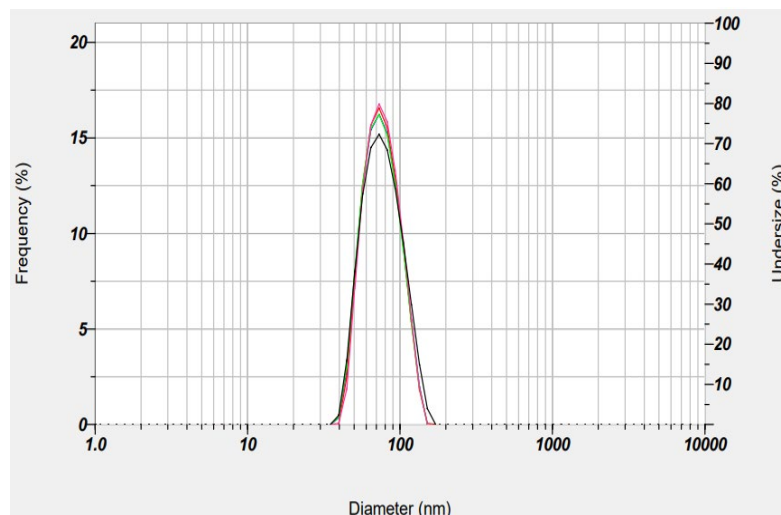


Figure 1. mRNA(eGFP)-LNP Synthesized by NanoGenerator. Average diameter is 67.3 nm. PDI is 0.106. Encapsulation efficiency is 94.5% (Ribo Green RNA Quantification Kit).

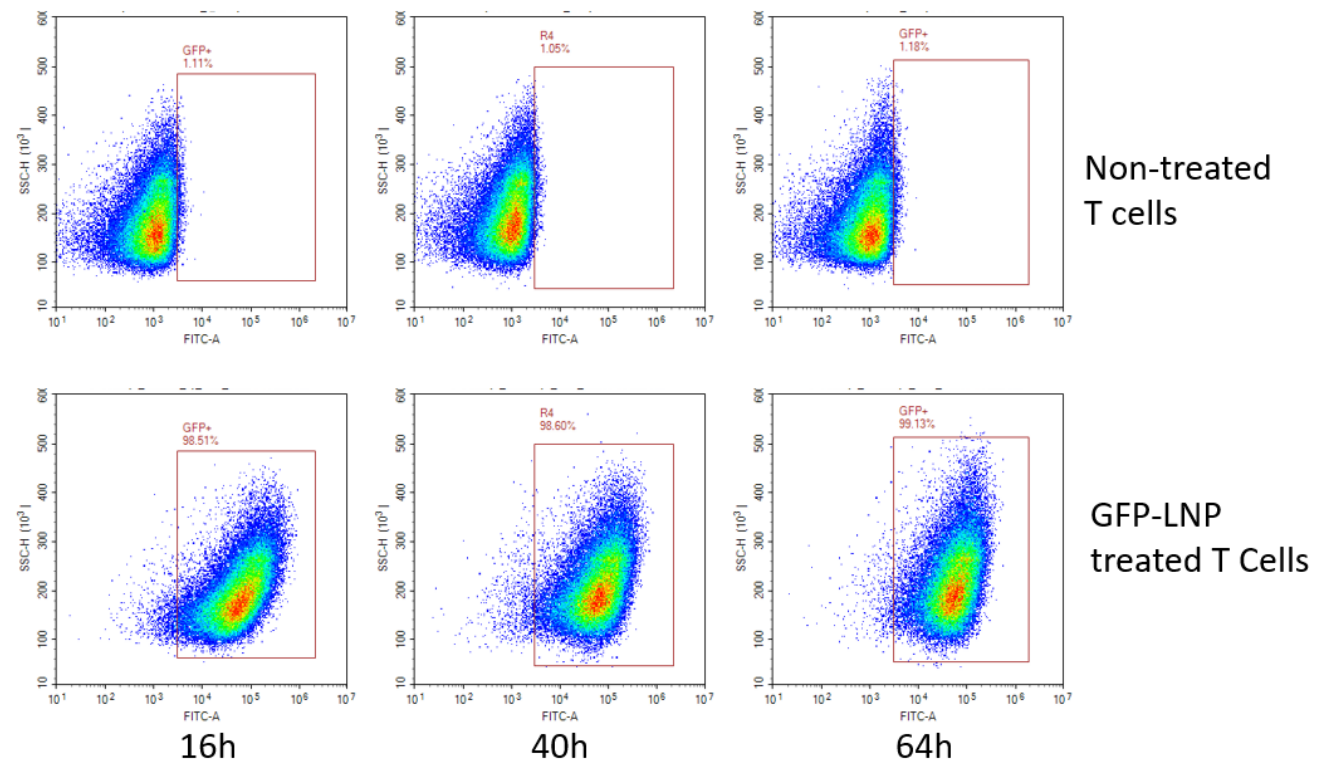
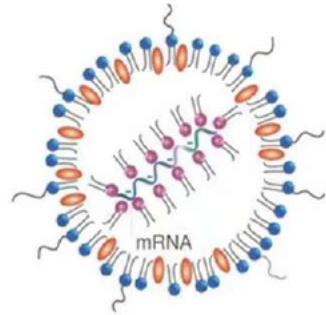
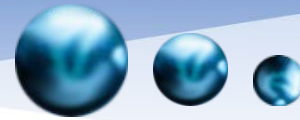


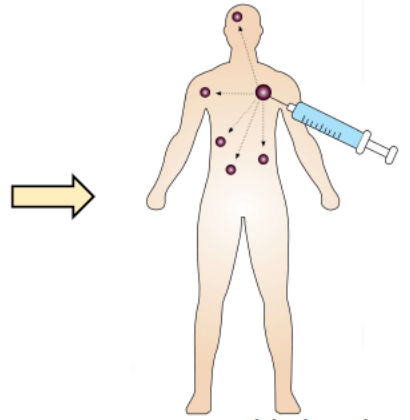
Figure 2. GFP(+) positive population of control (non-treat) and EGFP mRNA LNP treated primary T cells at 16, 40 and 64 hours. Cells were stained (1:50) using Biolegend 7-AAD Viability Staining for 10 minutes. Gating: First select for individual cells (excluding doublets). Then select for the healthy cell population. Then select for viable cells by excluding cells which are positive for 7-AAD. Gate for FitC-A channel (GFP)

Case Study II: Bi-specific Antibody Delivered by mRNA-LNP



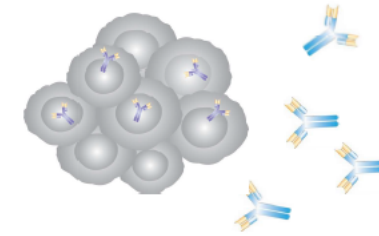
mRNA Encapsulation

In Vitro Transcription of mRNA encoding bispecific antibody & others.



Intra-tumoral injection

What happens post-injection?

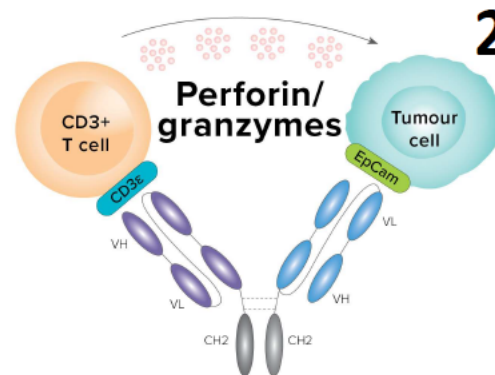


1

Tumor cells translate mRNAs into bispecific antibody and Immuno-mediator (Tumor factory)

Co-encapsulation or Co-injection with:

Checkpoint Inhibitor: PD-1 ab, PD-L1 ab...
Immunomodulator: CD40, OX40L, CD70...
Chemokine: CXCL2, CXCR1, CXCL9...



2

Antibody + T cell infiltration = Tumor cell lysis
(T cell Therapy)

① Tumor antigens

- TAA
- TSA
- Whole tumor cell antigens

② Adjuvants

- Immune stimulation
- Enhance antigen availability
- Regulate immune phenotype

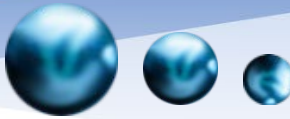
③ Delivery

- Peripheral delivery
- Lymph node delivery
- Cytosolic delivery

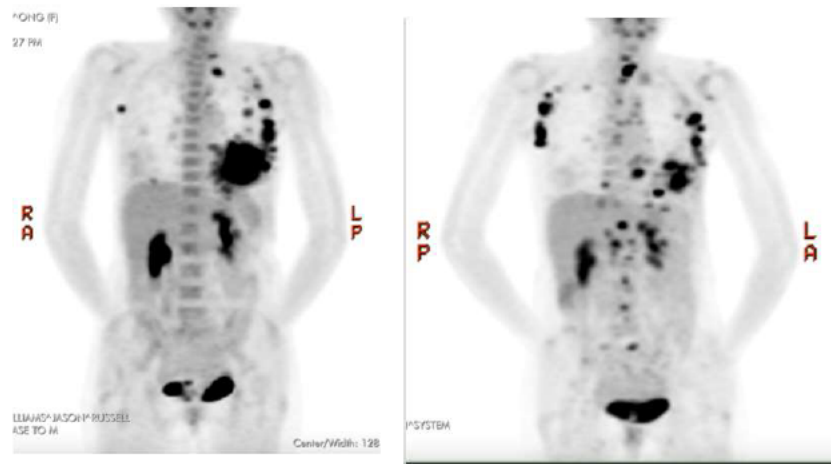
3

Released TAA & Neoantigen from lysate + Immuno-mediator (adjuvant)
= Innate Immune system activation (Tumor vaccine)

Case Study II: Bi-specific Antibody Delivered by mRNA-LNP



Treatment of Two Late-Stage Breast Cancer Cases



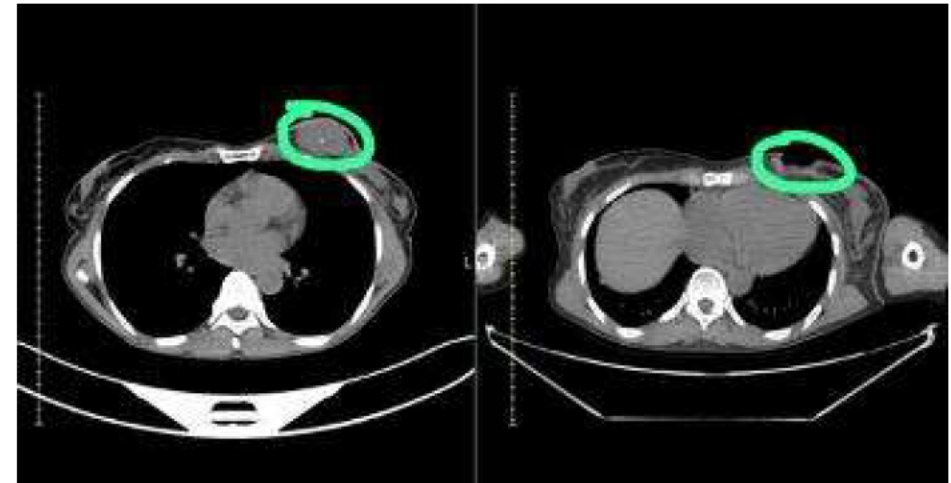
PET-CT 12/14/2023
Pre-treatment

PET-CT 6/18/2024
Post-treatment

Unresectable breast cancer with skin lesion

Case 1 Three photos

1. Appearance before treatment
2. Considerable change in appearance on skin lesions after first treatment
3. Continued improvement on skin lesions after two treatments



4/1/2024 Baseline

4/22/2024 After one Injection

Triple negative breast cancer

Case 2

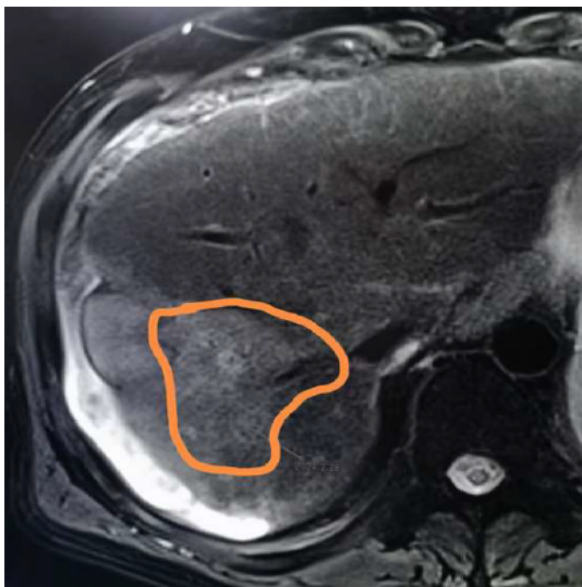
Left: CT scan showing a stage 3 invasive ductal carcinoma that did not respond to prior immunotherapy

Right: After one treatment, the tumor has dramatically resolved.

Case Study II: Bi-specific Antibody Delivered by mRNA-LNP



Cholangiocarcinoma with Liver Metastasis



02/28/2024 after one injection

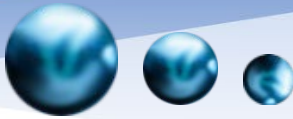


07/24/2024, after four injections

Case Information: A 45-year-old male patient, HBsAg positive for over 2 years, presented with intrahepatic lesions and abdominal distension. A recent CT scan revealed a large abnormal density in the liver's right lobe, enlarged abdominal lymph nodes, and a portal vein defect, indicating hepatocellular carcinoma with lymph node metastasis and portal vein cancer thrombosis. The patient's liver function was Child-Pugh grade A with some blood count abnormalities.

The posttreatment CT scan showed dramatic shrink of the intrahepatic cholangiocarcinoma after four intratumoral injections of the EpCAM-CD3-Fc+IM-1+IM-2 cocktail mRNA-LNP. The Patient requested more injections on 9/12/2024

Case Study II: Bi-specific Antibody Delivered by mRNA-LNP



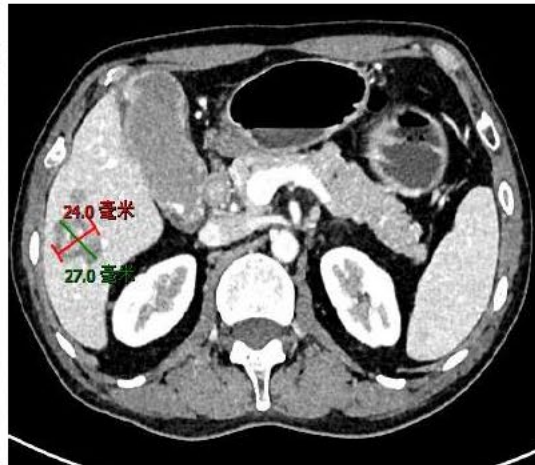
Liver Metastases from Colorectal Cancer

Lesion 1



37.0 x 28.0 mm

3/25/2024



27.0 x 24.0 mm

4/27/2024

Lesion 2



70.0 x 60.0 mm

3/25/2024

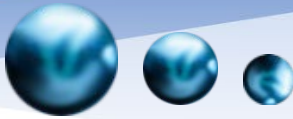


56.0 x 43.0 mm

4/27/2024

The enhanced CT scan of the upper abdomen showed that the intrahepatic tumor had shrunk

Why PreciGenome?



High Performance & Efficiency



- Tunable size (40-200 nm)
- Low PDI (0.05-0.2)
- High encapsulation efficiency

Open Platform



- Upgradable system
- Transferable microfluidic chips

Scalable Throughput



- Low volume for screening (Flex-S)
- Medium volume production (Flex-M)
- High volume production (Pro, MAX-GMP)

Simple Operation



- Simple setup
- Compact size
- Intuitive UI w/ touchscreen

Cost Effective

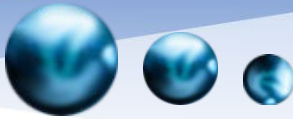


- Affordable configuration
- Lower cost per run

Custom Support

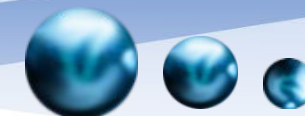


- Demo, Training and Support
- Extended Warranty
- Hot swap option
- Local US company



- **Manual**
- **Standard Operation Procedure (SOP)**
- **Warranty (1 year)**
- **Documentation related to cGMP compliance (cGMP version)**
 - ✓ Installation qualification, operational qualification, performance qualification
 - ✓ Report of consumable items
 - ✓ Chemical compatibility report of consumable items
 - ✓ Report of endotoxin test
 - ✓ Report of RNase/DNase free test
 - ✓ Report of sterilization test
 - ✓ Report of ethylene oxide residue test
 - ✓ 21 CFR Part 11 report
 - ✓ Electromagnetic compatibility report
 - ✓ Report of safety regulations
 - ✓ Other reports by requesting

Appendix II



PurePower Medical
Suzhou Purepower Medical Technology Co., Ltd.

Sterility Test Report

No.: QT/QG/Q01-01 No.: 820250724-01

| | | | | | |
|--------------------|-----------------------------|-----------------------|-------------|-----------|------------|
| Product Name | AMP Consumable Bag | Type | Sample | Lot No. | Sample |
| Sample ID | 1, 2, 3, 4 | Sterilization Lot No. | 20250724101 | Test Date | 2025-07-24 |
| Inoculation Method | 1. Membrane 2. Direct In | | | | |
| FTM Lot No. | FTM-250724 | | | | |
| TSB Lot No. | TSB-250724 | | | | |
| Positive Strain | Staphylococcus aureus | | | | |

Test Result:

| Culture Medium | Sample ID | 1 | 2 |
|------------------|-------------|---|---|
| FTM 30 - 35°C | 1 | — | — |
| | 2 | — | — |
| | 3 | — | — |
| | Positive: 4 | + | + |
| TSB 20 - 25°C | 1 | — | — |
| | 2 | — | — |
| | 3 | — | — |
| | Negative | — | — |

| | |
|-----------------------------|-----|
| Incubation Temperature (°C) | 35 |
| Dish No. | 1 |
| Incubation Time | 24h |
| 48h | |
| Average | |

Conclusion: ☒ Con

Remark: ☒

Notice: Put the "Y" in the "C" in the "R" Tested by/Date: Y. Y. 2025-07-24

PurePower Medical
Suzhou Purepower Medical Technology Co., Ltd.

EO Residual Test Report

No.: QT/QG/Q04-02 No.: 820250724-01

| | |
|----------------|-------------------------|
| Sample Name | AMP |
| Lot No. | |
| Sample No. | |
| Test Date | |
| Test Reference | JL-QG/Q04 EO Residual T |
| Item | |
| Sample1 | |
| Sample2 | |
| Sample3 | |
| Conclusion | |
| Remark | |

Tested by/Date: Y. Y. 2025-07-24

CTI PHARMA

Extractables Test Report

Report title The Extractables Study Report of Nanoparticle Synthesis System Consumables Kit

Report number EL-REP-23-019.01-E

Project No. N/A

Customer PreciGenome LLC

Address 2176 Ringwood Ave. San Jose, CA, 95131, USA

Testing laboratory Centre Testing International Pinchuang (Shanghai) Co., Ltd.

Testing laboratory address 1351 Wanfang Road, Minhang District, Shanghai

Underwriters Laboratories (UL LLC)
IEC/EN Safety Report

UL Solutions

Model:
Device Description:
Applicant:
Manufacturer:
Manufacturing Facility(ies):
Report No.:
Report (Re) Issue Date:
Base Standard(s):
Additional Standards:
Report Types:
This report covers the Sample above.

Test Report issued under the responsibility of:

UL Solutions

Safety requirement

Report Number
Date of issue
Total number of pages
Name of Testing Laboratory preparing the Report
Applicant's name
Address
Test specification:
Standard
Test procedure
Non-standard test method
TRF template used
Test Report Form No.
Test Report Form Originator
Master TRF
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No. 4790895205-2.1-51
Issue Date: 2023-08-26

Statement of Compliance

Project No.: 4790895205-2.1
Applicant: PreciGenome LLC
Address of Applicant: 2176 Ringwood Ave. San Jose, CA, 95131, USA
Product Description: NanoGenerator™ Max Nanoparticle Synthesis System
Model No.: PG-SYN-G
Test Standard: EN IEC 61326-1:2021
Test Report Number(s): 4790895205-2.1-1

This verification of EMC Compliance has been granted to the applicant based on the results of the tests, performed by
laboratory of UL-CCIC Company Ltd. on the sample of the above-mentioned product in accordance with the provisions of
the relevant specific standards and Directive 2014/53/EU. The CE mark as shown below can be used, under the
responsibility of the manufacturer, after completion of an EC Declaration of Conformity and compliance with all relevant EC
Directives.

CE

Leon Wu
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